



Credit Agent Profile / Violent Crime Control & Law Enforcement

Important: Incomplete form submissions may cause delay in license application processing and/or appointment.

Personal Data					
Applicant's Full Name	Last Name	First Name	Middle Name	Check One	
				Male	Female
Social Security #		Birth Date		Home Phone #	
Business Name & Address:					
Business Mailing Address:					
Business Phone #:		Business Fax #:		Email Address:	
Current Home Address					
Street Address:					
City:		County:		State:	
				Postal Zip Code:	
Licensing Information					
Have you ever held a license to solicit any type of insurance? Yes No					
If yes, what type of license? _____					
Background Information					
Yes	No	1. Have you ever been charged with, been convicted of, or plead "no contest" to:			
		a. Any felony or misdemeanor, other than a minor traffic violations?			
		b. Any violation of state insurance department regulation or statute?			
		c. Any violation of federal or state securities or investment related regulation or statute?			
		2. Have you ever or do you currently have any outstanding or unsatisfied judgments or liens against you?			
		3. Have you ever filed for bankruptcy or insolvent, either personally or in business?			
		4. Have you ever been or are you currently the subject of a consumer-initiated complaint or proceeding?			
		5. Have you ever had an insurance license denied, refused, suspended or revoked?			
IF THE ANSWER TO ANY QUESTION ABOVE IS "YES" PLEASE ATTACH FULL DETAIL(S).					
<div style="display: flex; justify-content: space-between; align-items: flex-end; padding: 10px;"> <div style="width: 45%;"> Signed this _____ Day of _____, 20 _____ </div> <div style="width: 45%; text-align: right;"> _____ Applicant's Signature </div> </div>					



LIFE OF THE SOUTH

VIOLENT CRIME CONTROL AND LAW ENFORCEMENT ACT OF 1994

Under the Violent Crime Control and Law Enforcement Act of 1994, Title 18 United States Code Sections 1003(e) (1)(A) and 1034, it is a criminal offense to willfully participate in or to willfully permit a prohibited person to conduct insurance activity, unless the prohibited person has been granted written consent to engage in the business of insurance by the appropriate regulatory official.

A “prohibited person” is an individual who has been convicted of any felony involving dishonesty or a breach of trust, or who has been convicted of an offense under Title 18 U.S.C. §1033. It is a criminal offense for such person to willfully engage in the business of insurance whose activities affect interstate commerce or to participate in such business. Therefore, if a person is deemed to be a prohibited person under the federal law, they must request the appropriate regulatory official for written consent to engage in the business of insurance and the consent must specify that is granted for the purpose of Title 18 U.S.C. §1033.

Both the prohibited person, and any entity employing such person, whose business affects interstate commerce, has the responsibility of notifying the appropriate regulatory official of all employees who are prohibited persons under this law. Those persons must request written consent from that official before engaging in any insurance activity.

Crimes of dishonesty have generally been held to include all offenses which have as an element falsehood, deceit or falsification, e.g. forgery, counterfeiting, perjury, subornation of perjury and offenses affecting the public administration of justice.

A “breach of trust” has been found to be a violation by a trustee of any duty which, as trustee, he owes to the beneficiary. Under Section 1033, a breach of trust would seem to be limited to violations involving specific fiduciary relationships, not simply a breach of the “public trust”.

Please read the following statements and provide your name and signature to the statement as applicable for your situation.

I, _____, certify that I have never been convicted of a felony involving dishonesty or a breach of trust.

Agent’s Signature	Date

I, _____, certify that I have been convicted of a felony involving dishonesty or a breach of trust. I understand I should advise, and get approval from an appropriate regulatory authority before engaging in any insurance activity.

Agent’s Signature	Date